

FORM PTO-1390 (REV. 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER <b>450119-03017</b>
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>			U.S. APPLICATION NO. (If known see 37 C.F.R. 1.5) <b>10/019977</b>
INTERNATIONAL APPLICATION NO. <b>PCT/JP01/01160</b>	INTERNATIONAL FILING DATE <b>19 FEBRUARY 2001</b>	PRIORITY DATE CLAIMED <b>24 FEBRUARY 2000</b>	
TITLE OF INVENTION <b>IMAGE SIGNAL CONVERSION APPARATUS, IMAGE SIGNAL CONVERSION METHOD, IMAGE DISPLAY APPARATUS USING THE SAME AND COEFFICIENT DATA GENERATING APPARATUS USED THEREIN</b>			
APPLICANT(S) FOR DO/EO/US <b>Tetsujiro KONDO, Kenji TAKAHASHI, Kazushi YOSHIKAWA</b>			

Applicants herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- ☒ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
- ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
- ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - ☐ is attached hereto (required only if not communicated by the International Bureau).
  - ☒ has been communicated by the International Bureau.
  - ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
- ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - ☐ are attached hereto (required only if not communicated by the International Bureau).
  - ☐ have been communicated by the International Bureau.
  - ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - ☒ have not been made and will not be made.
- ☐ A English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

- ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- ☐ A FIRST preliminary amendment.
- ☐ A SECOND or SUBSEQUENT preliminary amendment.
- ☐ A substitute specification.
- ☐ A change of power of attorney and/or address letter.
- ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
- ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
- ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- ☒ Other items or information:  
PCT/IB/301, 308  
24 drawing sheets, 1 page Abstract

**EXPRESS MAIL**

Mailing Label Number: **EV001583807US**

Date of Deposit: **October 23, 2001**

I hereby certify that this paper or fee is being deposited with the United States Postal Service

"Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents and Trademarks, Box PCT Washington, DC 20231.

*Charles Jackson*  
(Typed or printed name of person mailing paper or fee)

*Charles Jackson*  
(Signature of person mailing paper or fee)

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.50) <b>10/019977</b>		INTERNATIONAL APPLICATION NO. PCT/JP01/01160		ATTORNEY'S DOCKET NO. 450119-03017	
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21. <input checked="" type="checkbox"/> The following fees are submitted				CALCULATIONS PTO USE ONLY	
<b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... \$1040.00  International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO..... \$890.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$740.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$710.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00					
ENTER APPROPRIATE BASIC FEE AMOUNT =					
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	44 - 20 =	24	x \$18.00		
Independent Claims	11 - 3 =	8	x \$84.00		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$280.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ 1,994.00</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 C.F.R. 1.27. The fees indicated above are reduced by 1/2.				+	
<b>SUBTOTAL =</b>				<b>\$</b>	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	
<b>TOTAL NATIONAL FEE =</b>				<b>\$ 1,994.00</b>	
Fee for recording the enclosed assignments (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$ 1,994.00</b>	
				Amount to be refunded:	
				\$	
				charged:	
				\$	

a. ☒ One check in the amount of **\$1,994.00** to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. **50-0320**. A duplicate copy of this sheet is enclosed.

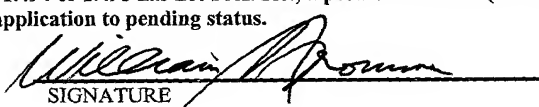
d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit  
card information should not be included on this form.** Provide credit card information and authorization  
on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

**WILLIAM S. FROMMER, ESQ.**  
**FROMMER LAWRENCE & HAUG LLP**  
 745 FIFTH AVENUE  
 NEW YORK, NEW YORK 10151

  
 SIGNATURE  
 \_\_\_\_\_  
**WILLIAM S. FROMMER**  
 NAME  
 \_\_\_\_\_  
 25,506  
 REGISTRATION NUMBER

Dated: October 23, 2001

## PATENT COOPERATION TREATY

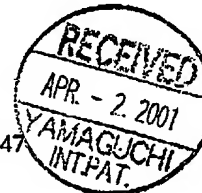
PCT

NOTIFICATION OF RECEIPT OF  
RECORD COPY

(PCT Rule 24.2(a))

From the INTERNATIONAL BUREAU

To:

YAMAGUCHI, Kunio  
Hirayama Bldg. 5F.  
15-2, Uchikanda 1-chome  
Chiyoda-ku, Tokyo 101-0047  
JAPON

Date of mailing (day/month/year) 21 March 2001 (21.03.01)	IMPORTANT NOTIFICATION
Applicant's or agent's file reference S01P0239WO00	International application No. PCT/JP01/01160

The applicant is hereby notified that the International Bureau has received the record copy of the international application as detailed below.

Name(s) of the applicant(s) and State(s) for which they are applicants:

SONY CORPORATION (for all designated States except US)  
KONDO, Tetsujiro et al (for US)

International filing date : 19 February 2001 (19.02.01)  
Priority date(s) claimed : 24 February 2000 (24.02.00)  
25 April 2000 (25.04.00)  
25 April 2000 (25.04.00)

Date of receipt of the record copy  
by the International Bureau : 02 March 2001 (02.03.01)

List of designated Offices :

National : CA,US

## ATTENTION

The applicant should carefully check the data appearing in this Notification. In case of any discrepancy between these data and the indications in the international application, the applicant should immediately inform the International Bureau.

In addition, the applicant's attention is drawn to the information contained in the Annex, relating to:

- ☒ time limits for entry into the national phase  
☒ confirmation of precautionary designations  
☒ requirements regarding priority documents

A copy of this Notification is being sent to the receiving Office and to the International Searching Authority.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer:  Shinji IGARASHI
Facsimile No. (41-22) 740.14.35	Telephone No. (41-22) 338.83.38

WO 01/63921  
PCT/JP01/01160

## PATENT COOPERATION TREATY

PCT

NOTICE INFORMING THE APPLICANT OF THE  
COMMUNICATION OF THE INTERNATIONAL  
APPLICATION TO THE DESIGNATED OFFICES

(PCT Rule 47.1(c), first sentence)

From the INTERNATIONAL BUREAU

To:

YAMAGUCHI, Kunio  
Hirayama Bldg. 5F.  
15-2, Uchikanda 1-chome  
Chiyoda-ku, Tokyo 101-0047  
JAPON

Date of mailing (day/month/year) 30 August 2001 (30.08.01)		
Applicant's or agent's file reference S01P0239WO00		IMPORTANT NOTICE
International application No. PCT/JP01/01160	International filing date (day/month/year) 19 February 2001 (19.02.01)	Priority date (day/month/year) 24 February 2000 (24.02.00)
Applicant SONY CORPORATION et al		

1. Notice is hereby given that the International Bureau has communicated, as provided in Article 20, the international application to the following designated Offices on the date indicated above as the date of mailing of this Notice:

US

In accordance with Rule 47.1(c), third sentence, those Offices will accept the present Notice as conclusive evidence that the communication of the international application has duly taken place on the date of mailing indicated above and no copy of the international application is required to be furnished by the applicant to the designated Office(s).

2. The following designated Offices have waived the requirement for such a communication at this time:

CA

The communication will be made to those Offices only upon their request. Furthermore, those Offices do not require the applicant to furnish a copy of the international application (Rule 49.1(a-bis)).

3. Enclosed with this Notice is a copy of the international application as published by the International Bureau on 30 August 2001 (30.08.01) under No. WO 01/63921

## REMINDER REGARDING CHAPTER II (Article 31(2)(a) and Rule 54.2)

If the applicant wishes to postpone entry into the national phase until 30 months (or later in some Offices) from the priority date, a demand for international preliminary examination must be filed with the competent International Preliminary Examining Authority before the expiration of 19 months from the priority date.

It is the applicant's sole responsibility to monitor the 19-month time limit.

Note that only an applicant who is a national or resident of a PCT Contracting State which is bound by Chapter II has the right to file a demand for international preliminary examination.

## REMINDER REGARDING ENTRY INTO THE NATIONAL PHASE (Article 22 or 39(1))

If the applicant wishes to proceed with the international application in the national phase, he must, within 20 months or 30 months, or later in some Offices, perform the acts referred to therein before each designated or elected Office.

For further important information on the time limits and acts to be performed for entering the national phase, see the Annex to Form PCT/IB/301 (Notification of Receipt of Record Copy) and Volume II of the PCT Applicant's Guide.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer  J. Zahra
Facsimile No. (41-22) 740.14.35	Telephone No. (41-22) 338.83.38

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